

San Diego County List of Direct Issuers

Fortis Bank SA-NV New York Branch
GE Capital
ING Financial Markets LLC
Societe Generale
Washington Mutual

Criteria:

1. Any direct issuer entering into a new business relationship to conduct security transactions with the County Treasurer's Office is required to make applications and qualify for recommendation by the Chief Investment Officer to the County Treasurer.
2. The broker/dealer will be monitored by the investment staff to ensure the services the County requires are delivered in a timely manner.